## § 503.52

the classification of information based on classification guidance. The duplication or reproduction of existing classified information is not derivative classification

- (h) *Downgrading* means a determination by a declassification authority that information classified and safeguarded at a specified level shall be classified and safeguarded at a lower level.
- (i) Foreign government information means:
- (1) Information provided to the United States Government by a foreign government or governments, an international organization of governments, or any element thereof, with the expectation that the information, the source of the information, or both, are to be held in confidence;
- (2) Information produced by the United States pursuant to or as a result of a joint arrangement with a foreign government or governments, or an international organization of governments, or any element thereof, requiring that the information, the arrangement, or both, are to be held in confidence; or
- (3) information received and treated as "Foreign Government Information" under the terms of Executive Order 12958 or any predecessor order.
- (j) Mandatory declassification review means the review for declassification of classified information in response to a request for declassification that meets the requirements under section 3.6 of Executive Order 12958.
- (k) *Multiple sources* means two or more source documents, classification guides, or a combination of both.
- (l) National security means the national defense or foreign relations of the United States.
- (m) Need to know means a determination made by an authorized holder of classified information that a prospective recipient requires access to specific classified information in order to perform or assist in a lawful and authorized governmental function.
- (n) *Original classification* means an initial determination that information requires, in the interest of national security, protection against unauthorized disclosure.

- (o) Original classification authority means an individual authorized in writing, either by the President, or by agency heads or other officials designated by the President, to classify information in the first instance.
- (p) Self-inspection means the internal review and evaluation of individual Commission activities and the Commission as a whole with respect to the implementation of the program established under Executive Order 12958 and its implementing directives.
- (q) Senior agency official ("security officer") means the official designated by the Chairman under section 5.6 of Executive Order 12958 to direct and administer the Commission's program under which classified information is safeguarded.
- (r) Source document means an existing document that contains classified information that is incorporated, paraphrased, restated, or generated in new form into a new document.
- (s) Unauthorized disclosure means a communication or physical transfer of classified information to an unauthorized recipient.

[64 FR 23547, May 3, 1999]

# § 503.52 Senior agency official.

The Chairman of the Commission shall designate a senior agency official to be the Security Officer for the Commission, who shall be responsible for directing, administering and reporting on the Commission's information security program, which includes oversight (self-inspection) and security information programs to ensure effective implementation of Executive Orders 12958 and 12968, and 32 CFR part 2001.

[64 FR 23547, May 3, 1999]

#### § 503.53 Oversight Committee.

- An Oversight Committee is established, under the chairmanship of the Security Officer with the following responsibilities:
- (a) Establish a Commission security education program to familiarize all personnel who have or may have access to classified information with the provisions of Executive Order 12958 and directives of the Information Security

Oversight Office. The program shall include initial, refresher, and termination briefings;

- (b) Establish controls to ensure that classified information is used, processed, stored, reproduced, and transmitted only under conditions that will provide adequate protection and prevent access by unauthorized persons;
- (c) Act on all suggestions and complaints concerning the Commission's information security program;
- (d) Recommend appropriate administrative action to correct abuse or violations of any provision of Executive Order 12958; and
- (e) Consider and decide other questions concerning classification and declassification that may be brought before it.

[49 FR 44401, Nov. 6, 1984, as amended at 64 FR 23548, May 3, 1999]

## §503.54 Original classification.

- (a) No Commission Member or employee has the authority to originally classify information.
- (b) If a Commission Member or employee develops information that appears to require classification, or receives any foreign government information as defined in section 1.1(d) of Executive Order 12958, the Member or employee shall immediately notify the Security Officer and appropriately protect the information.
- (c) If the Security Officer believes the information warrants classification, it shall be sent to the appropriate agency with original classification authority over the subject matter, or to the Information Security Oversight Office, for review and a classification determination.
- (d) If there is reasonable doubt about the need to classify information, it shall be safeguarded as if it were classified pending a determination by an original classification authority. If there is reasonable doubt about the appropriate level of classification, it shall be safeguarded at the higher level of classification pending a determination by an original classification authority.

[49 FR 44401, Nov. 6, 1984, as amended at 64 FR 23548, May 3, 1999]

#### § 503.55 Derivative classification.

- (a) In accordance with Part 2 of Executive Order 12958 and directives of the Information Security Oversight Office, the incorporation, paraphrasing, restating or generation in new form of information that is already classified, and the marking of newly developed material consistent with the classification markings that apply to the source information, is derivative classification
- (1) Derivative classification includes the classification of information based on classification guidance.
- (2) The duplication or reproduction of existing classified information is not derivative classification.
- (b) Members or employees applying derivative classification markings shall:
- (1) Observe and respect original classification decisions; and
- (2) Carry forward to any newly created documents the pertinent classification markings.
- (3) For information derivatively classified based on multiple sources, the Member or employee shall carry forward:
- (i) The date or event for declassification that corresponds to the longest period of classification among the sources; and
- (ii) A listing of these sources on or attached to the official file or record copy.
- (c) Documents classified derivatively shall bear all markings prescribed by 32 CFR 2001.20 through 2001.23 and shall otherwise conform to the requirements of 32 CFR 2001.20 through 2001.23.

[64 FR 23548, May 3, 1999]

# § 503.56 General declassification and downgrading policy.

(a) The Commission exercises declassification and downgrading authority in accordance with section 3.1 of Executive Order 12958, only over that information originally classified by the Commission under previous Executive orders. Declassification and downgrading authority may be exercised by the Commission Chairman and the Commission Security Officer, and such others as the Chairman may designate.